

# Randomized Algorithms

# Randomized algorithms

Algorithm which uses **random bits** to solve a problem

Random Int(1, 100)  $\leftarrow$  **true random number**

Randomized algs can be (i) faster and (ii) more elegant

**Las Vegas alg**: output is always correct, but runtime depends on randomness

E.x. Quicksort  $E[\text{runtime}] = O(n \log n)$

Randomized median  $E[\text{runtime}] = O(\log n)$

("Maybe") **Monte Carlo alg**: Fixed runtime, but output is sometimes incorrect

For decision (Yes/No) problems:

**Two-sided error**:  $\Pr[\text{Alg correct}] = \frac{1}{2} + \epsilon, \epsilon > 0$

**One-sided error**: If Yes instance: Alg **always** outputs YES  
No instance:  $\Pr[\text{Alg outputs No}] \geq p > 0$

# Boosting correctness via repetition

One-sided error: YES instance:  $\Pr[\text{Output YES}] = 1$

NO instance:  $\Pr[\text{NO}] \geq p > 0$   Would like .99 (or better)

Boosted alg  $A'$ : Run algorithm  $A$   $t$  times.

If any of  $t$  outcomes are NO, output NO.

Otherwise, output YES.

YES:  $A'$  always outputs YES

$$\begin{aligned}\text{NO: } \Pr[A' \text{ outputs YES}] &= \Pr[A \text{ outputs YES} \wedge \dots \wedge A \text{ outputs YES}] \\ &= \prod_{i=1}^t \Pr[i\text{-th } A \text{ outputs YES}] \\ &\leq (1-p)^t \leq e^{-pt} = e^{-100} \quad \text{if } t = \frac{100}{p}.\end{aligned}$$

# Integer factorization

Given a 500 digit number  $N$   
find its prime factorization  $N = p_1 p_2 \dots p_k$

**Algorithm:** Check every integer  $2 \leq x \leq \sqrt{N}$   
to see if  $x$  divides  $N$

**Runtime:** Suppose  $N \approx 10^{500}$   
Then  $\sqrt{N} \approx \sqrt{10^{500}} = 10^{250}$   
# of atoms in universe  $\leq 10^{100}$   
 $N$  has  $n$  digits  $\Rightarrow$  needs  $10^{n/2}$  time

**Inefficient!**



General number  
field sieve:

Factor an  $n$  bit integer  
in time  $\approx C^{n^{1/3} \log(n)^{2/3}}$

Factoring is believed to be hard!  
But very important.~

RSA-250: 250 digits (factored in 2020)

RSA-896: 270 digits \$75,000

RSA-2048: 617 digits \$200,000

(easy with a quantum computer)

## Primality testing

Given an  $n$  digit number  $N$   
determine if it's prime or composite

Idea 1: Factor it! Runs in time  $O(n^{1/3} \log(n)^{2/3})$  

Idea 2: Use something else about prime numbers...

## Fermat's little theorem

If  $N$  is prime then  $a^{N-1} \equiv 1 \pmod{N}$   
for all  $a \in \{1, \dots, N-1\}$

# Fermat Test (N)

1. Pick  $a \in \{1, \dots, N-1\}$  uniformly at random
  2. If  $a^{N-1} \equiv 1 \pmod{N}$ , output "prime"  
Otherwise, output composite
- 

Fact: If  $N$  is prime, always outputs "prime"

Goal: Fermat Test is a Monte Carlo alg with one sided error

- Prime  $N$ :  $\Pr[\text{"prime"}] = 1$
- Composite  $N$ :  $\Pr[\text{"prime"}] \leq \frac{1}{2}$

won't  
quite  
work :)

Repeat  $t$  times: Prime  $N$ :  $\Pr[t \text{ "prime" outputs}] = 1$   
Composite  $N$ :  $\leq \left(\frac{1}{2}\right)^t$

# Fermat Test (N)

1. Pick  $a \in \{1, \dots, N-1\}$  uniformly at random
  2. If  $a^{N-1} \equiv 1 \pmod{N}$ , output "prime"  
Otherwise, output composite
- 

Fact: If  $N$  is prime, always outputs "prime"

$N = 12 = 3 \cdot 4$

|                        |                                        |
|------------------------|----------------------------------------|
| not coprime<br>$a = 2$ | $2^1 \not\equiv 1 \pmod{12}$<br>↖ even |
| not coprime<br>$a = 3$ | $3^1 \not\equiv 1 \pmod{12}$           |
| coprime<br>$a = 5$     | $5^1 \not\equiv 1 \pmod{12} ???$       |

$N = p^2$ ,  $p$  large prime  $\Rightarrow$  only  $\frac{1}{p}$  of  $a$ 's are not coprime with  $N$

Test only good if  $a^{N-1} \not\equiv 1 \pmod{N}$  for lots of coprime  $a$

## Carmichael numbers

Composite numbers  $N$  s.t.

$$a^{N-1} \equiv 1 \pmod{N}$$

for all  $a$  coprime to  $N$ .

Pass the Fermat test for all coprime  $a$ .

$$N = 561 = 3 \cdot 11 \cdot 17$$

Let's pretend these don't exist for now...

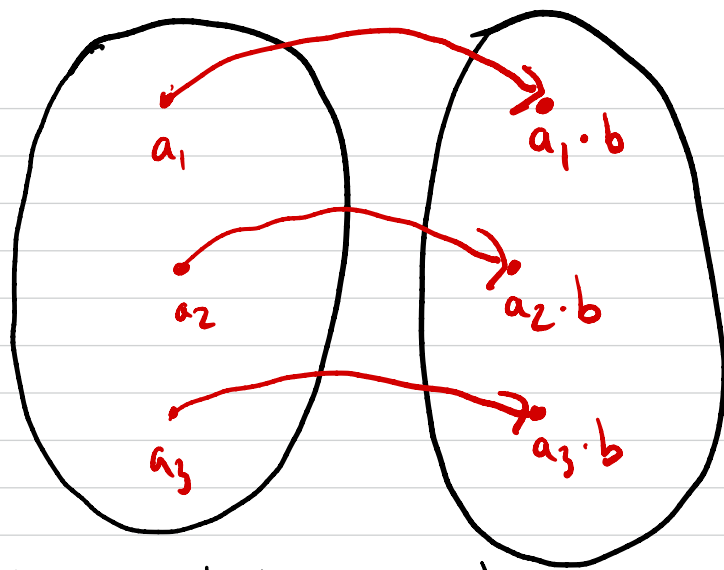
**Thm:** Suppose  $N$  is composite and not Carmichael.  
Then  $\Pr[\text{Fermat Test}(N) = \text{composite}] \geq 1/2$ .

**Pf:** Not Carmichael  $\Rightarrow$  coprime  $b$  s.t.  $b^{N-1} \not\equiv 1 \pmod{N}$ .

**Claim:** Suppose  $a$  passes Fermat Test ( $a^{N-1} \equiv 1 \pmod{N}$ )  
Then  $a \cdot b \pmod{N}$  fails Fermat Test.

**Pf:**

$$\begin{aligned}(a \cdot b)^{N-1} &\equiv a^{N-1} \cdot b^{N-1} \pmod{N} \\ &\equiv b^{N-1} \pmod{N} \\ &\not\equiv 1 \pmod{N} \quad \square\end{aligned}$$



$a$ 's pass test  
 $a^{N-1} \equiv 1 \pmod{N}$

$a$ 's fail test  
 $a^{N-1} \not\equiv 1 \pmod{N}$

So  $|pass| \leq |fail|$

Need to check

$$a_i \cdot b \not\equiv a_j \cdot b \pmod{N}, i \neq j$$

Fact:  $b$  is coprime w/  $N$   
 $\Rightarrow$  inverse  $b^{-1} \pmod{N}$

$$a_i \cdot \underset{\cdot b^{-1}}{b} \not\equiv a_j \cdot \underset{\cdot b^{-1}}{b} \pmod{N}$$

$$a_i \not\equiv a_j \pmod{N}$$

□

Runtime

Need to compute  $a^{N-1} \pmod{N}$

Suppose  $N-1 = 2^n$

$$a \cdot a \equiv a^2 \pmod{N}$$

$$a^2 \cdot a^2 \equiv a^4 \pmod{N}$$

$$a^4 \cdot a^4 \equiv a^8 \pmod{N}$$

$\vdots$

$$a^{2^{n-1}} \cdot a^{2^{n-1}} \equiv a^{2^n} \pmod{N}$$

} n steps

(Can generalize to arbitrary  $N-1$ )



Can add another check to detect Carmichael numbers

This gives Miller-Rabin primality test (1976)

Since then, we've only had randomized alg (no deterministic)

Until...

Agarwal-Kayal-Saxena Primality Test (2002)

A deterministic alg for primality testing (later  $O(n^6)$  time)  
in  $O(n^2)$  time

Miller 1976 "derandomized"

Try the Miller-Rabin test for  $a \leq O(n^2)$

This will detect if  $N$  is prime or coprime

(assuming generalized Riemann hypothesis)

**Primality**: efficient randomized algorithm first,  
later efficient deterministic alg.

**Other problems**: Only known efficient randomized alg.  
(Polynomial identity testing)

**Two possible worlds**: 1. Every efficient randomized alg  
has deterministic counterpart

2. Some problems only have  
efficient randomized alg

efficient  
deterministic  
→ P

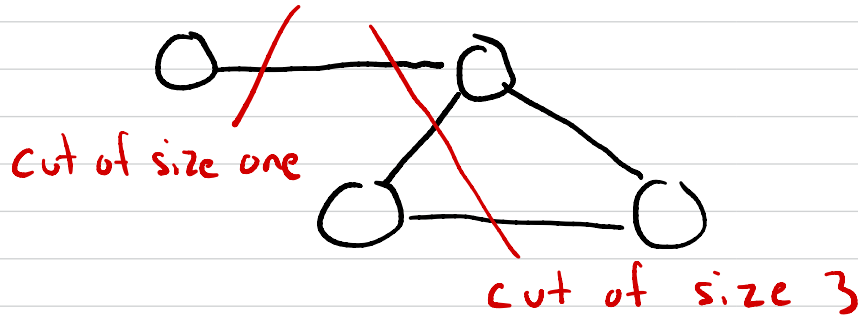
v.

BPP ← efficient  
randomized

# Minimum Cut

Input: Unweighted, undirected graph  $G=(V,E)$

Solution: Cut  $(C, \bar{C})$  of minimum size



Idea: Use Max-Flow/Min-Cut alg

Computes **min s-t cut**. Which  $s, t$  to use?

Set  $s=1$ . Try all  $t=2, \dots, n$ .

Runtime:  $n \cdot (\text{time for max-flow alg}) \approx n \cdot O(m)$   $n=|V|, m=|E|$  ↖ 100+ pages of math!

Today: elegant Monte Carlo alg, runs in time  $O(n^2)$  for success prob 99%

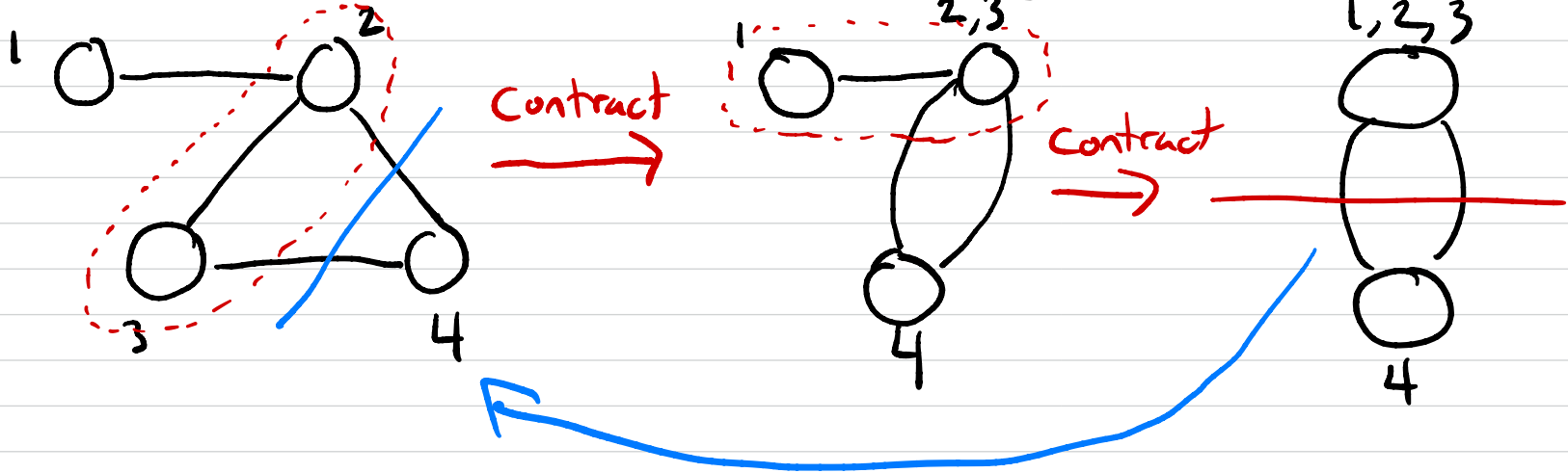
# Karger's algorithm ( $G$ )

for  $i = 1, \dots, n-2$  ( $n = |V|$ )

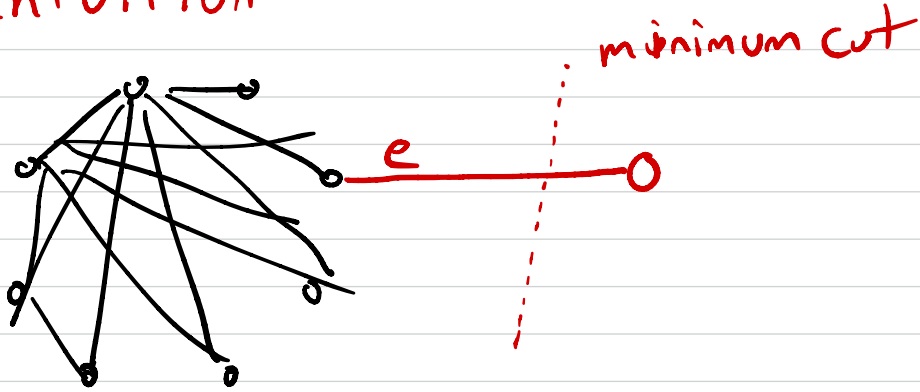
1. pick a uniformly random edge  $e_i$

2. "contract"  $e_i$

return cut specified by the remaining two supervertices



# Intuition



Karger's alg finds min cut if it never contracts  $e$

But way more edges on left

Will usually pick there!

More generally: **Many** edges in graph, **few** in min cut

**Thm:** Let  $C = (S, \bar{S})$  be a min cut of size  $k$ .

$$\Pr[\text{Karger's alg outputs } C] \geq \frac{1}{\binom{n}{2}} = \frac{2}{n(n-1)}$$

**Pf:** Def:  $G_i$  = graph at beginning of  $i$ -th iteration. ( $G_1 = G$ )

$H_i$  = "happy" event the  $i$ -th contracted edge  $e_i$   
doesn't cross cut  $C = (S, \bar{S})$

$$\Pr[\text{Alg outputs } (C, \bar{C})]$$

$$= \Pr[H_1 \wedge H_2 \wedge \dots \wedge H_{n-2}]$$

$$\Pr[H_i | H_1, \dots, H_{i-1}] \geq \frac{n-i-1}{n-i+1}$$

$$= \Pr[H_1] \cdot \Pr[H_2 | H_1] \cdot \Pr[H_3 | H_1, H_2] \cdots \Pr[H_{n-2} | H_1, \dots, H_{n-3}]$$

$$\geq \left(\frac{\cancel{n}-2}{\cancel{n}}\right) \cdot \left(\frac{\cancel{n}-3}{\cancel{n}-1}\right) \cdot \left(\frac{\cancel{n}-4}{\cancel{n}-2}\right) \cdots \left(\frac{2}{\cancel{4}}\right) \cdot \left(\frac{1}{\cancel{3}}\right)$$

$$= \frac{2}{n(n-1)}$$

$$\begin{aligned}
 \Pr[H_i | H_1, \dots, H_{i-1}] &= 1 - \Pr[\text{Contract edge in } C | H_1, \dots, H_{i-1}] \\
 &= 1 - \frac{\# \text{ edges across } C}{\# \text{ edges in } G_i} \\
 &\geq 1 - \frac{k}{\frac{1}{2} k (n-i+1)} = 1 - \frac{2}{n-i+1} = \boxed{\frac{n-i-1}{n-i+1}}
 \end{aligned}$$

**Fact 1:**  $\text{Min-Cut}(G_i) \geq k$  close to 1 if  $i=1$ ,  $= \frac{1}{3}$  if  $i=n-2$   
 (any cut in  $G_i$  corresponds to cut in  $G$ )

**Fact 2:**  $\# \text{ vertices in } G_i = n - (i-1) = n-i+1$

**Fact 3:** degree of each vertex in  $G_i \geq k$

**Fact 4:**  $\# \text{ of edges in } G_i = \frac{1}{2} \sum_{v \in G_i} \deg(v)$   
 $\geq \frac{1}{2} \sum_{v \in G_i} k$   
 $= \frac{1}{2} \cdot k \cdot |G_i| \geq \frac{1}{2} \cdot k \cdot (n-i+1)$

$$\Pr[\text{Succeeds}] \geq \frac{1}{\binom{n}{2}} \approx \frac{2}{n^2}$$

Succeed w/ constant prob: repeat  $n^2$  times  
(or slightly more)

Fact: # of min cut  $\leq \binom{n}{2}$

$$\Pr[\text{output min cut}] \geq \sum_{\text{min cut}} \frac{1}{\binom{n}{2}} = \frac{(\# \text{ of min cuts})}{\binom{n}{2}}$$